|   |  |   |  |   | uction 1/b)1   |   |   |   |   | _  |  |  |  |  | 1   |
|---|--|---|--|---|--|---|---|---|---|--|--|--|--|--|---|
| STRIB   | UTOR / B   | <b>ROKER INFOR</b>  | MATION   | [refer instr  |  |   |   |   |   |  |  |  |  |  |   |
| N   | Name and A   | MFI Reg. No.  |  | Sub Agent's   | Name and AMFI Re   | g. No.  | Sub-Brok  | ker Code  |   | EUIN*  |  | RI   | A Code   | ++   |   |
| RN-   | ARI  | N-96458   | AR   | RN-   |  |   | (As allo<br>ARN h   |   | E10   | 8296   |  |  |  |  |   |
| ont comm  | nission shall be   | e paid directly by the in   | vestor to the  | AMFI registered   | Distributors based on th   | ne investors' as  | sessment of va  | arious factor   | s including th  | ne service i   | rendered   | by the dis   | stributor.   |  | 1   |
|   |  |   |  |   | saction is executed without distributor / sub broker or  |   |   |   |   |  |  |  |  |  |   |
| ithstanding f   |  |   |  |   | nip manager / sales person   |   | ole Applicant   |   |   |  |  |  |  |  |   |
| /We, have in  | vested in the Scl  |   |  |   | give you my/our consent to<br>estments under Direct Plan   |   | n / POA Holder<br>sed Signatory   | / G   |   |  |  | Third /<br>Guardian  | Applicant<br>/ POA H   |  |   |
|   | • • • •  | to the above mentioned Mu   |  |   |  | tion in link  | la 4a ka wa   |   |   |  |  |  |  |  | ]   |
|   |  |   |  |   | lank, the applicat   |   |   | jected)   |   |  | Lesth  |  |  |  |   |
|   | le / First Unit  | Holder  | Firs   | t Name  |  | Midd  | le Name   | Annling   | ion No.   |  | Last N   | ame  |  |  |   |
| io No.<br>de of Hol   |  | <ul> <li>✓) Single Join</li> </ul>  | nt 🗌 Anvor   | ne or Survivor  |  |   |   | Applicat<br>PAN (Fir  | st Unit Holde   | ar)  |  |  |  |  | 1   |
| bile No. +  |  |   |  | E-mail  |  |   |   |   |   | <i>,</i> ,   |  |  |  |  | 1   |
|   |  |   |  |   |  |   |   |   |   |  |  |  |  |  |   |
|   | an / Option  |   |  |   |  |   |   |   |   |  |  |  |  |  | 1   |
|   | Please √)  | Daily SIP   | Weekly   | SIP Mo  | onthly SIP Qu  | arterly SIP   | (Calender Qua   | arter i.e. Janu   | ary, April, Ju  | uly and Oct  | tober)   |  |  |  | 1   |
| Date  |  | Daily SIP (Start Date):   | DD   | Weekly SIP  | (Monday to Friday): Day  | y of transfer   |   |   |   |  |  |  |  |  |   |
|   |  | Monthly and Quarterly   | SIP: Preferr   | red Debit Date (A   | any date 1 to 31)  |   |   |   |   |  |  |  |  |  |   |
| olment F  | Period   | Regular From  | M M I  | YYYY  | To M M / Y Y   | YYY   | Perpetual   | From M  | M / Y   | YYY  | То   | 0 1  | / 2 (  | ) 9 9  | ]   |
| h SIP An  | nount  | ₹   | No.  | of instalments  | Total Amoun  | nt₹   |   | First SIP I   | nstalment   | via: Cheq  | ue No.   |  |  |  |   |
| wn on Ba  | ank  |   |  |   |  |   |   |   |   |  |  |  |  |  |   |
| nch   |  |   |  |   |  |   | A/c. No.  |   |   |  |  |  |  |  | -   |
| Ton LIP   |  |   |  |   |  | '   |   |   |   |  |  |  |  |  | ]   |
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| b DECL<br>s is to infor<br>ad shall be<br>jet it verific<br>rect Debit<br>yrm BNP P<br>e undertak<br>lelayed or<br>b business<br>tual Fund.<br>t, by any a<br>ze majeure<br>separate in   | LARATIO<br>rm that I/We h<br>made from m<br>ed & executed<br>/Standing Inst<br>aribas Mutual<br>ee to keep suff<br>not effected a<br>day as per th<br>Bank shall no<br>acts of God, c<br>e events, or ar<br>ntimation will I<br>JRE AS PI  | N & SIGNATUR<br>ave registered for the I<br>ny/our below mentioned<br>I. I/We hereby declare<br>truction. If the transact<br>I Fund/ BNP Paribas A<br>ficient funds in the fund<br>at all for reasons of in<br>the Mutual Fund, execut<br>to be liable for, nor be<br>avil war, civil commotion<br>ty other cause of peril<br>be received from Bank   | ES<br>RBI's Electro<br>d bank acco<br>that the part<br>on is delaye<br>sset Manage<br>ing account<br>complete or<br>ion of the S<br>in default by<br>m, riot, strikk<br>which is bey<br>in case of r<br>AS MUTU      | nic Clearing Sen<br>unt with your bar<br>icculars given abo<br>d or not effected<br>ement India Limi<br>on the date of ex<br>incorrect informs<br>IP will happen or<br>reason of, any<br>e, mutiny,revoluti<br>yond Bank's reas<br>non-execution of           | vice (Debit Clearing) / Di<br>nk. I/We authorise the re<br>ove are correct and expr<br>at all for reasons of inc<br>ted, about any changes<br>xecution of standing inst<br>ation, I would not hold t<br>in the day of holiday and<br>failure or delay in comp<br>ion, fire, flood, fog, war,<br>sonable control and whic<br>the instructions for any<br><b>To be signed as per I</b><br>Second Aj  | irect Debit /Sta<br>epresentative of<br>ress my willing<br>complete or inc<br>in my bank ar<br>truction. I heret<br>the Mutual Fur<br>d allotment of u<br>eletion of its ob<br>lightening, ea<br>ch has the effe<br>reasons what<br>Mode of Hold  | Top Up Freq<br>inding Instruction<br>carrying this Economic for the service<br>orrect information count. I We have the the<br>oy declare that<br>and or the Bank inits will happe<br>iligations under<br>thquake, charact of preventin<br>soever.   | on and that i<br>CS (Debit CI<br>payments re-<br>ion, I/We wo<br>ave read an-<br>the particula<br>c responsible<br>c responsible<br>n as per the<br>r this Agreer<br>nge of Gove  | ny payment<br>earing) / Dir<br>ferred abov<br>uld not hold<br>d agreed to<br>rs given abo<br>rs given | towards m<br>ect Debit /<br>e through i<br>the user in<br>the terms<br>over are core<br>of debit to<br>Conditions<br>such failur<br>ies, Unava<br>e contract l   | Applica  | g Instructi<br>tion in EC<br>responsi<br>ditions me<br>complete<br>ur accound<br>n the Offe<br>ay is caus<br>of Bank's<br>ank. I/We  | on man<br>S (Debit<br>ble. I /W<br>entioned<br>. If the t<br>t happer<br>r Docum<br>sed, in w<br>compute<br>acknow | date Form<br>Clearing<br>e will also<br>overleaf.<br>ransaction<br>is to be a<br>uent of the<br>rhole or in<br>er system               | n<br>)<br>D<br>n<br>a<br>e<br>n<br>,  |
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This is to confirm that the declaration has been carefully read, understood and made by me/us. I am authorizing the User entity/ Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / corporate of the bank where I have authorized the debit.

- The SIP Enrolment Form should be completed in English and in Block Letters only. Please tick

   (✓) in the appropriate box (□), where boxes have been provided. The SIP Enrolment Form, complete in all respects, should be submitted to any of the Official Points of Acceptance of Transactions.
- A single SIP Enrolment Form can be used for one Scheme / Plan / Option / SIP Date only. Investor should use separate forms for more than one Scheme / Plan / Option.
- Existing unit holders are required to submit only the SIP Enrolment Form. Existing unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) will be as per the existing folio number.
- 4. New investors who wish to enroll for SIP are required to fill the Common Application Form and SIP Application Form. New investors are advised to read the Key Information Memorandum(s) and Scheme Information Document carefully before investing and the same are available with the ISCs / distributors.
- Under SIP the investor can for a continuous period of time invest a fixed amount at regular intervals for purchasing additional Units of the Scheme(s) at the Applicable NAV, subject to applicable Load.
- Minimum amount and installments required for various SIP frequencies offered to investors is as below:

For all schemes other than BNP Paribas Liquid Fund and BNP Paribas Long Term Equity Fund Daily Systematic Investment Facility (DSIF), Weekly Systematic Investment Facility (WSIF) and Monthly Systematic Investment Facility (MSIF): Minimum Rs. 300/- and in multiples of Rs. 1/- thereafter per installment. Minimum installment as follows:

| From Amount | To Amount  | Minimum Installments |
|-------------|------------|----------------------|
| 300         | 499        | 20                   |
| 500         | 999        | 12                   |
| 1000        | any amount | 6                    |

Quarterly Systematic Investment Facility (QSIF): Minimum Rs. 1000/- and in multiples of Rs. 1/- thereafter per installment for a minimum of 6 quarterly installments.

The minimum investment amount required under SIP facility of BNP Paribas Long Term Equity Fund for DSIP or WSIF or MSIF or QSIF will be ₹ 500 and in multiples of ₹ 500 thereafter.

Accordingly, the provision for SIP facility which requires the standing instruction for SIP {including Post Dated Cheque (PDC) or Auto Debit / NACH} to be such that the criteria of minimum application amount of that particular scheme in which investment is intended via SIP facility is complied with, shall no longer be applicable.

# 7. Applicable Load Structure for SIP (For All Schemes :)

### Entry Load: Nil

The provisions of Exit Load as applicable to the normal investments as on the date of enrollment will be applicable to fresh SIP investments.

- 8. Separate SIP Enrolment Forms are required to be filled for DSIP, WSIF, MSIF and QSIF.
- 9. The cheques should be drawn in favour of the respective "Scheme / Plan / Option" and crossed "A/c Payee Only" and must be payable at the locations where applications are submitted at the Official Points of Acceptance of Transactions. Unit holders must write the SIP Enrolment Form number, if any, on the reverse of the cheques accompanying the SIP Enrolment Forms. Outstation cheques will not be accepted and applications accompanied by such cheques are liable to be rejected. No cash, money orders or postal orders will be accepted.
- 10. Investors have the right to discontinue the SIP facility at any time by sending a written request to any of the Official Points of Acceptance of Transactions. Such notice should be received at least 14 days prior to the due date of the next cheque. On receipt of such request, the SIP facility will be terminated.
- 11. The enrolment period of SIP will be as per the instruction given by the investor. In case it is instructed to continue SIP "Till instruction to discontinue the SIP is submitted" investors will have to submit SIP cancellation request to discontinue the SIP. In case of any ambiguity in enrolment period or if the end date of SIP is not mentioned, the default period for SIP will be 5 years.

- 12. In case investor has not selected any frequency or incase of any ambiguity, monthly frequency shall be considered as default option. Similarly, 7th day shall be considered as default execution date. In case of any ambiguity in the enrolment form, the SIP enrolment request shall be liable to be rejected.
- 13. In case of minor application, AMC will register standing instructions till the date of the minor attaining majority, though the instructions may be for a period beyond that date. Prior to minor attaining majority, AMC shall send advance notice to the registered correspondence address advising the guardian and the minor to submit an application form along with prescribed documents to change the status of the account to "major". The account shall be frozen for operation by the guardian on the day the minor attains the age of majority and no fresh transactions shall be permitted till the documents for changing the status are received.
- 14. The Trustee / AMC reserves the right to change / modify the terms of the SIP. The above load structure will be in force till further notice. This load structure is subject to change and may be imposed / modified prospectively from time to time, as may be decided by the Trustee / AMC from time to time.
- 15. If no start date is mentioned by the investors, the SIP will be registered to start from a period after 30 days from the date of submission of the application form.
- 16. DSIP shall be triggered and processed only on all Business Days
- 17. Investors can choose any preferred date of the month as SIP debit date. In case the chosen date falls on a non-business day, the SIP will be processed on the immediate next business day. In case chosen date is not available in a particular month, the SIP will be processed on the last business day of the month.

# The terms and conditions for availing the 'Top-Up SIP' shall be as follows:

#### 1. Frequency for Top-Up SIP

- (i) For Monthly SIP:
  - a. Half Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen / designated by Investor post every 6th (sixth) SIP installment.
  - Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen / designated by Investor post every 12th (twelfth) SIP installment.

# (ii) For Quarterly SIP:

a. Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen / designated by Investor post every 4th (fourth) SIP installment. In case the investor who has registered under Quarterly SIP opts for Half Yearly Top-Up SIP, the same shall be registered and processed as Yearly Top-Up SIP.

## 2. Minimum Top-Up SIP Amount:

₹ 500 and in multiples of ₹ 500 thereafter.

- 3. Default Top-Up SIP Frequency and amount:
  - In case the investor fails to specify either the frequency or amount for Top-Up SIP, the same shall be deemed as Yearly Top-Up SIP and ₹ 500 respectively and the application form shall be processed accordingly. In case the investor fails to specify both, i.e. the frequency for Top-Up SIP and amount for Top-Up SIP, the application form may be processed as conventional SIP, subject to it being complete in all other aspects.
- 4. Top-Up SIP shall be available for SIP Investments only through NACH / ECS (Debit Clearing) / Direct Debit Facility only. Top-Up SIP shall not be available under SIP facility availed by Investors through Standing Instructions / PDCs or investing through Channel Partners or through Stock Exchange Platforms.
- 5. Top-Up SIP facility shall not be available under Weekly SIP option.
- Top-Up SIP facility can be availed by the investors only at the time of registration of SIP or renewal of SIP.
- Investors should note that for modification of any of the details of Top-Up SIP details, the existing SIP with Top-Up facility shall be required to be cancelled and investor would be required to enroll a fresh SIP with modified Top-Up facility details.

Declaration: I / We hereby declare that the particulars provided in this mandate are correct and complete and hereby agree to participate in the NACH / ECS / Direct Debit / Standing Instructions (SI) and make payments through the NACH platform according to the terms and conditions thereof. I / We further hereby agree and acknowledge that I / we will not hold the AMC and/or responsible for any delay and / or failure in debiting my bank account for reasons not attributable to the negligence and / or misconduct on the part of the AMC I / We hereby declare and confirm that, irrespective of my / our registration of the above mobile number in the 'DO NOT DISTURB (DND)', 'or in any similar register maintained under applicable laws, now or subsequent to the date hereof, I / We hereby consent to the Bank communicating with me/us in any manner whatsoever on the said mobile number with respect to the transactions carried out in my / our aforementioned bank account(s). I / We hereby agree to abide by the terms and conditions that may be intimated to me / us by the AMC / Bank with respect to the NACH / ECS / Direct Debit / SI from time to time.

Authorisation to Bank: This is to inform that I / We have registered for ECS / NACH (Debit Clearing) / Direct Debit / SI facility and that the payment towards my / our investments in the Schemes of BNP Paribas Mutual Fund shall be made from my / our above mentioned bank account with your Bank. I / We hereby authorize the representatives of BNP Paribas Asset Management India Private Limited, Investment Manager to BNP Paribas Mutual Fund carrying this mandate form to get it verified and executed. I / We authorize the Bank to debit my / our above-mentioned bank account for any charges towards mandate verification, registration, transactions, returns, etc, as applicable for my / our participation in NACH / ECS / Direct Debit / SI.